

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-09 14:51:35

2. Agency: 007

3. Bureau: 97

4. Name of this Investment: DEFENSE INFORMATION SYSTEM FOR SECURITY

5. Unique Project (Investment) Identifier: 007-97-01-03-01-0594-00

6. What kind of investment will this be in FY 2011?: Full-Acquisition

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

Defense Information System for Security (DISS) will improve information sharing capabilities, accelerate clearance-processing timelines, reduce security vulnerabilities, and increase DoD's security mission capability. DISS is tasked to consolidate the DoD security mission into an Enterprise System that will automate the implementation of improved national investigative and adjudicative standards to eliminate costly and inefficient work processes and increase information collaboration across the community. DISS is currently under development and will replace the Joint Personnel Adjudicative System (JPAS) a legacy system. When fully deployed this will be a secure, authoritative source for the management, storage and timely dissemination of and access to personnel with the flexibility to provide additional support structure for future DoD security process growth. When deployed, it will accelerate the clearance process, reduce security clearance vulnerabilities, decrease back-end processing timelines, and support simultaneous information sharing within various DoD entities as well as among a number of authorized federal agencies. DISS will provide improved support to the Insider Threat and Personal Identity programs and will be comprised of capabilities that are currently part of the Joint Personnel Adjudication System (JPAS) and Defense Central Index of Investigations (DCII) and will create a robust and real-time capability for all DoD participants in the Military Departments, and DoD Agencies. It will also include automated records check (ARC) functionality and the creation of an adjudicative case management capability with e-Adjudication functionality. DISS will also provide the following operational capabilities, single point of entry for; personnel security, adjudicative case management, and decision support functionality to all DoD adjudicators. DISS will provide near continuous intra-Central Adjudication Facility (CAF) communications on a web-based enabled platform utilizing a unified architecture with security management. Outyear funding beyond FY11 will be addressed in the POM FY12 process.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1. **Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES**
(REPORTED IN MILLIONS)
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. **Table 1: Contracts/Task Orders Table**

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
NBCHD003000030022 - Automated record check capability (Hardware, software development, development and operational test)	Cost plus fixed fee	Y	2008-08-25	2008-08-25	2010-08-24	\$5.4	*	*	*	*	*
HHM402-07-D-0005 - Electronic adjudication capability (Hardware, software development, development and operational test)	Firm Fixed Price	Y	2008-09-25	2008-09-25	2009-09-30	\$0.9	*	*	*	*	*
W904TE-08-M-8570 - Electronic adjudication capability (Hardware)	Firm Fixed Price	Y	2008-10-13	2008-10-13	2008-12-31	\$0.1	*	*	*	*	*
02EA3TS348 - Central Adjudication Facility Process Analysis, Program Documentation and Data Requirements (electronic)	Firm Fixed Price	Y	2008-02-13	2008-02-13	2009-02-02	\$4.0	*	*	*	*	*

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c											
NBCHD030030026 - Automate record check capability (Hardware, software development, development and operational test)	Cost Plus Fixed Fee	Y	2009-04-17	2009-04-17	2010-09-30	\$8.2	*	*	*	*	*
02EA3TS34801 - Central Adjudication Facility Process Analysis, Program Documentation and Data Requirements (electronic application, electronic adjudication, portal, data warehouse)	Firm Fixed Price	Y	2008-09-15	2008-09-15	2009-09-14	\$3.5	*	*	*	*	*
NBCHD030030027 - Automate record check capability (Hardware, software development, development and operation	Cost Plus Fixed Fee	Y	2009-05-15	2009-05-15	2011-09-30	\$8.2	*	*	*	*	*

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al test)											
BTA000420 - Electronic adjudication capability(Hardware , software development, development and operational test)	Firm Fixed Price	Y	2009-05-15	2009-05-15	2010-03-30	\$0.9	*	*	*	*	*
02EA3TS34802 - Central Adjudication Facility Process Analysis, Program Documentation and Data Requirements (electronic application, electronic adjudication, portal, data warehouse)	Firm Fixed Price	Y	2009-02-15	2009-02-15	2009-07-31	\$2.5	*	*	*	*	*
20700034 - Program Documentation and Data Requirements (electronic application, electronic adjudication, portal, data warehouse)	Firm Fixed Price	Y	2009-04-13	2009-04-13	2009-09-30	\$1.1	*	*	*	*	*
BTA000585 - Electronic	Firm Fixed Price	Y	2009-04-15	2009-04-15	2009-09-30	\$0.4	*	*	*	*	*

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HHM40207D00050022 - Electronic adjudication capability(Hardware , software development, development and operational test)	Firm Fixed Price	Y	2009-03-16	2009-03-16	2009-09-30	\$0.2	*	*	*	*	*
BTA000419 - Electronic adjudication capability hardware -Navy	Firm Fixed Price	Y	2009-03-15	2009-03-15	2009-05-30	\$0.1	*	*	*	*	*
02EA3TS34801 - OPM IA / IBM for TO 7 & 8	Firm Fixed Price	Y	2009-08-11	2009-10-01	2009-11-30	\$0.8	*	*	*	*	*
02EA3TS34801 - OPM IA / IBM for TO 6	Firm Fixed Price	Y	2009-08-25	2009-08-25	2009-09-30	\$0.3	*	*	*	*	*
BTA000792 - Navy Hardware	Firm Fixed Price	Y	2009-05-22	2009-05-22	2009-09-30	\$0.1	*	*	*	*	*
BTA000794 - Continuity of operations initiative hardware / Army	Firm Fixed Price	Y	2009-05-01	2009-05-01	2009-06-30	\$0.2	*	*	*	*	*
BTA000884 - Microsoft Premier support that will	Firm Fixed Price	Y	2009-07-01	2009-07-01	2010-06-30	\$0.5	*	*	*	*	*

adjudication

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expand the utilization of the											
HHM40207D0005 - TO 0036 Software Development / Army	Cost Plus Fixed Fee	Y	2009-09-30	2009-10-01	2010-09-30	\$1.4	*	*	*	*	*
AASN-09-DSS-001 - IA/ GSA Software development and Design	Cost Plus Fixed Fee	Y	2009-08-18	2009-08-18	2010-08-17	\$0.2	*	*	*	*	*
BTA001065 - SAN upgrade storage devices - Army	Firm Fixed Price	Y	2009-08-06	2009-08-30	2009-10-30	\$0.2	*	*	*	*	*
BTA001096 - Clearance Adjudication Tracking System hardware - Army	Firm Fixed Price	Y	2009-08-14	2009-09-01	2010-06-30	\$0.3	*	*	*	*	*
02EA3TS34801 - Funds to support IBM OPM IA thru Sep 09	Firm Fixed Price	Y	2009-09-01	2009-09-01	2009-09-30	\$0.3	*	*	*	*	*
HHM40207D0005 / TO 39 - CAF Adjudication System Modernization	Firm Fixed Price	Y	2010-02-03	2010-02-03	2011-02-03	\$1.6	*	*	*	*	*
HQ01171294 - Funds to TAO for	Firm Fixed Price	Y	2009-11-03	2009-11-04	2010-11-03	\$0.5	*	*	*	*	*

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contract support for the virtual											
02EA3TS 34801 - IBM Support for Dec09 - Mar 10	Firm Fixed Price	Y	2009-11-23	2009-12-01	2010-03-31	\$1.8	*	*	*	*	*
HQ01170 1742 - TAO Effort for Finger Printing Machines	Firm Fixed price	Y	2010-02-03	2010-02-03	2011-02-02	\$0.1	*	*	*	*	*
HQ01170 1819 - CAF Modernization Additional funding	Firm Fixed Price	Y	2010-03-08	2010-03-08	2011-03-07	\$2.4	*	*	*	*	*
HQ01170 1683 TAO Initiative for Bio-Metrics to store finger prints electronically	Firm Fixed Price	Y	2010-01-21	2010-01-21	2011-01-20	\$0.2	*	*	*	*	*
HQ01170 1674 - Funding for IBM support	Firm Fixed Price	Y	2010-02-25	2010-02-25	2011-02-24	\$3.4	*	*	*	*	*
HQ01170 1766 - IBM support for Task 9A	Firm Fixed Price	Y	2010-02-25	2010-02-25	2011-02-24	\$0.2	*	*	*	*	*
HQ01170 1821 - CAF Modernization Additional funding	Firm Fixed price	Y	2010-03-08	2010-03-08	2011-03-07	\$1.6	*	*	*	*	*

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HQ01170 1822 - IT Demos for End-to-End d Clearance Reform Pilot	Firm Fixed Price	Y	2010-02-26	2010-02-26	2010-11-09	\$3.0	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Reshaping the Defense Enterprise	*	*	Days to compete an initial investigation	222 days	130 days	118 days
2008	Reshaping the Defense Enterprise	*	*	Number of automated record checks processed by ACES for initial investigations	4000 records processed	10000 records processed	8000 records processed
2008	Reshaping the Defense Enterprise	*	*	Reduction of systems in use for adjudicative case management	5 systems	1 system	5 systems
2008	Reshaping the Defense Enterprise	*	*	Number of adjudications processed by electronic adjudication	0 adjudications processed by electronic adjudication	5000 adjudications processed by electronic adjudication	7500 adjudications processed by electronic adjudication
2009	Reshaping the Defense Enterprise	*	*	Days to reach an eligibility determination	72 days	20 days	72 days
2009	Reshaping the Defense Enterprise	*	*	Number of adjudications processed by electronic adjudication	7500 adjudications processed by electronic adjudication	100,000 adjudications processed by electronic adjudication	130,282 adjudications processed by electronic adjudication
2009	Reshaping the Defense Enterprise	*	*	Number of automated record checks processed by ACES for initial investigations	9300 records processed	10000 records processed	10000 records processed
2009	Reshaping the Defense Enterprise	*	*	Days to compete an initial investigation	80.75 days	40 days	80.75 days
2009	Reshaping the Defense Enterprise	*	*	Reduction of systems in use for adjudicative case management	5 systems	1 system	5 systems
2010	Reshaping the Defense Enterprise	*	*	Days to reach an eligibility determination	72 days	20 Days	
2010	Reshaping the Defense Enterprise	*	*	Number of adjudications processed by electronic adjudication	100,000 adjudications processed by electronic adjudication	100,000 adjudications processed by electronic adjudication	
2010	Reshaping the Defense Enterprise	*	*	Number of automated record checks processed by ACES for initial investigations	10000 records processed	100,000 records processed	
2010	Reshaping the	*	*	Days to	80.75 days	40 days	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Defense Enterprise			compete an initial investigation			
2010	Reshaping the Defense Enterprise	*	*	Reduction of systems in use for adjudicative case management	5 systems	1 system	
2011	Reshaping the Defense Enterprise	*	*	Days to reach an eligibility determination	60 days	20 days	
2011	Reshaping the Defense Enterprise	*	*	Number of adjudications processed by electronic adjudication	100,000 adjudications processed by electronic adjudication	250,000 adjudications processed by electronic adjudication	
2011	Reshaping the Defense Enterprise	*	*	Number of automated record checks processed by ACES for initial investigations	100000 records processed	150000 records processed	
2011	Reshaping the Defense Enterprise	*	*	Days to compete an initial investigation	60 days	40 days	
2011	Reshaping the Defense Enterprise	*	*	Reduction of systems in use for adjudicative case management	2 systems	1 system	
2012	Reshaping the Defense Enterprise	*	*	Days to reach an eligibility determination	30 days	20 days	
2012	Reshaping the Defense Enterprise	*	*	Number of adjudications processed by electronic adjudication	100,000 adjudications processed by electronic adjudication	350,000 adjudications processed by electronic adjudication	
2012	Reshaping the Defense Enterprise	*	*	Number of automated record checks processed by ACES for initial investigations	150,000 records processed	750,000 records processed	
2012	Reshaping the Defense Enterprise	*	*	Days to compete an initial investigation	50 days	40 days	
2013	Reshaping the Defense Enterprise	*	*	Number of adjudications processed by electronic adjudication	325,000 adjudications processed by electronic adjudication	350,000 adjudications processed by electronic adjudication	
2013	Reshaping the Defense Enterprise	*	*	Number of automated record checks processed by ACES for initial investigations	500,000 records processed	1,000,000 records processed	
2013	Reshaping the	*	*	Days to	45 days	40 days	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Defense Enterprise			complete an initial investigation			
2013	Reshaping the Defense Enterprise	*	*	Days to reach an eligibility determination	23 days	20 days	

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Case Adjudication Tracking System IOC fielded to Army, DISCO, and Navy CAFs. This system provides case management for adjudication with electronic adjudication and delivery.	\$1.6	\$1.6	2009-03-12	2009-04-10	2009-12-18	2009-12-18	100.00%	100.00%
Automated Record Check (ARC) Capability IOC will utilize applicant data to collect relevant information available through government and commercial databases and flag issues for investigative purposes. IOC will be for a select population	\$6.2	\$6.2	2009-01-09	2009-01-09	2010-05-15		100.00%	75.00%
Continuous Evaluation (CE) IOC includes an electronic review of scheduled updates of a subject's application information, ARC, and an electronic assessment of the information acquired for a select population.	\$2.0	\$2.0	2009-06-15	2009-01-22	2010-06-22		50.00%	45.00%
Case Adjudication Tracking	\$4.0	\$2.5	2009-03-12	2009-04-10	2010-04-15		80.00%	80.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
System FOC. fielded to all DoD low side CAFs. This system provides case management for adjudication with electronic adjudication and delivery.								
Automated Record Check (ARC) Capability FOC will utilize applicant data to collect relevant information available through government and commercial databases and flag issues for investigative purposes.	\$4.5	\$2.0	2009-01-09	2009-01-09	2010-12-15		35.00%	40.00%
Continuous Evaluation (CE) FOC includes an electronic review of scheduled updates of a subject's application information, ARC, and an electronic assessment of the information acquired.	\$1.0	\$0.5	2009-06-15	2009-06-15	2010-12-15		25.00%	20.00%
Provide Portal services to DISS component systems enabling single sign-on and role based access	\$2.5	\$0.5	2009-03-09	2009-03-09	2011-04-14		15.00%	10.00%
Deploy SOA framework to DISS systems enabling the use of enterprise services	\$2.0	\$0.0	2010-02-15	2010-02-15	2012-05-16		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
DISS FOC - Operations and Maintenance	*	*	2012-04-15		2013-09-30		0.00%	0.00%
DISS Program Operations and Maintenance	*	*	2013-04-15		2014-09-30		0.00%	0.00%
DISS Program Operations and Maintenance	*	*	2014-04-15		2015-09-30		0.00%	0.00%

* - Indicates data is redacted.